**PROLEGOMENA** 

(1894)

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## EVOLUTION AND ETHICS PROLEGOMENA

[1894]

I

IT may be safely assumed that, two thousand years ago, before Caesar set foot in southern Britain, the whole country-side visible from the windows of the room in which I write, was in what is called "the state of nature." Except, it may be, by raising a few sepulchral mounds, such as those which still, here and there, break the flowing contours of the downs, man's hands had made no mark upon it; and the thin veil of vegetation which overspread the broad-backed heights and the shelving sides of the coombs was unaffected by his industry. The native grasses and weeds, the scattered patches of gorse, contended with one another for the possession of the scanty surface soil; they fought against the droughts of summer, the frosts of winter, and the furious gales which swept, with unbroken force, now from the Atlantic, and now from the North Sea, at all times of the year; they filled up, as they best might, the gaps made in their ranks by all sorts of underground and overground animal ravagers. One year with another, an average population, the floating balance of the unceasing struggle for existence among the indigenous plants, maintained itself. It is as little to be doubted, that an essentially similar state of nature prevailed, in this region, for many thousand years before the coming of Caesar; and there is no assignable reason for denying that it might continue to exist through an equally prolonged futurity, except for the intervention of man.

Reckoned by our customary standards of duration, the native vegetation, like the "everlasting hills" which it clothes, seems a type of permanence. The little Amarella Gentians, which abound in some places to-day, are the descendants of those that were trodden underfoot by the prehistoric savages who have left their flint tools about, here and there; and they followed ancestors which, in the climate of the glacial epoch, probably flourished better than they do now. Compared with the long past of this humble plant, all the history of civilized men is but an episode.

Yet nothing is more certain than that, measured by the liberal scale of time-keeping of the universe, this present state of nature, however it may seem to have gone and to go on for ever, is but a fleeting phase of her infinite variety; merely the last of the series of changes which the earth's surface has undergone in the course of the millions of years of its existence. Turn back a square foot of the thin turf, and the solid foundation of the land, exposed in cliffs of chalk five hundred feet high on the adjacent shore, yields full assurance of a time when the sea covered the site of the

"everlasting hills"; and when the vegetation of what land lay nearest, was as different from the present Flora of the Sussex downs, as that of Central Africa now is. No less certain is it that, between the time during which the chalk was formed and that at which the original turf came into existence, thousands of centuries elapsed, in the course of which, the state of nature of the ages during which the chalk was deposited, passed into that which now is, by changes so slow that, in the coming and going of the generations of men, had such witnessed them, the contemporary conditions would have seemed to be unchanging and unchangeable.

But it is also certain that, before the deposition of the chalk, a vastly longer period had elapsed, throughout which it is easy to follow the traces of the same process of ceaseless modification and of the internecine struggle for existence of living things; and that even when we can get no further back, it is not because there is any reason to think we have reached the beginning, but because the trail of the most ancient life remains hidden, or has become obliterated.

Thus that state of nature of the world of plants, which we began by considering, is far from possessing the attribute of permanence. Rather its very essence is impermanence. It may have lasted twenty or thirty thousand years, it may last for twenty or thirty thousand years more, without obvious change; but, as surely as it has followed upon a very different state, so it will be followed by an equally different condition. That which endures is not one or another association of living forms, but the process of which the cosmos is the product, and of which these are among the transitory expressions. And in the living world, one of the most characteristic features of this cosmic process is the struggle for existence, the competition of each with all, the result of which is the selection, that is to say, the survival of those forms which, on the whole, are best adapted to the conditions which at any period obtain; and which are, therefore, in that respect, and only in that respect, the fittest.<sup>2</sup> The acme reached by the cosmic process in the vegetation of the downs is seen in the turf, with its weeds and gorse. Under the conditions, they have come out of the struggle victorious; and, by surviving, have proved that they are the fittest to survive.

That the state of nature, at any time, is a temporary phase of a process of incessant change, which has been going on for innumerable ages, appears to me to be a proposition as well established as any in modern history. Paleontology assures us, in addition, that the ancient philosophers who, with less reason, held the same doctrine, erred in supposing that the phases formed a cycle, exactly repeating the past, exactly foreshadowing the future, in their rotations. On the contrary, it furnishes us with conclusive reasons for thinking that, if every link in the ancestry of these

humble indigenous plants had been preserved and were accessible to us, the whole would present a converging series of forms of gradually diminishing complexity, until, at some period in the history of the earth, far more remote than any of which organic remains have yet been discovered, they would merge in those low groups among which the boundaries between animal and vegetable life become effaced.<sup>3</sup>

The word "evolution," now generally applied to the cosmic process, has had a singular history, and is used in various senses. Taken in its popular signification it means progressive development, that is, gradual change from a condition of relative uniformity to one of relative complexity; but its connotation has been widened to include the phenomena of retrogressive metamorphosis, that is, of progress from a condition of relative complexity to one of relative uniformity.

As a natural process, of the same character as the development of a tree from its seed, or of a fowl from its egg, evolution excludes creation and all other kinds of supernatural intervention. As the expression of a fixed order, every stage of which is the effect of causes operating according to definite rules, the conception of evolution no less excludes that of chance. It is very desirable to remember that evolution is not an explanation of the cosmic process, but merely a generalized statement of the method and results of that process. And, further, that, if there is proof that the cosmic process was set going by any agent, then that agent will be the creator of it and of all its products, although supernatural intervention may remain strictly excluded from its further course.

So far as that limited revelation of the nature of things, which we call scientific knowledge, has yet gone, it tends, with constantly increasing emphasis, to the belief that, not merely the world of plants, but that of animals; not merely living things, but the whole fabric of the earth; not merely our planet, but the whole solar system; not merely our star and its satellites, but the millions of similar bodies which bear witness to the order which pervades boundless space, and has endured through boundless time; are all working out their predestined courses of evolution.

With none of these have I anything to do, at present, except with that exhibited by the forms of life which tenant the earth. All plants and animals exhibit the tendency to vary, the causes of which have yet to be ascertained; it is the tendency of the conditions of life, at any given time, while favouring the existence of the variations best adapted to them, to oppose that of the rest and thus to exercise selection; and all living things tend to multiply without limit, while the means of support are limited; the obvious cause of which is the production of offspring more numerous than their progenitors, but with equal expectation of life in the actuarial sense. Without the first tendency there could be no evolution.

Without the second, there would be no good reason why one variation should disappear and another take its place; that is to say, there would be no selection. Without the third, the struggle for existence, the agent of the selective process in the state of nature, would vanish.<sup>5</sup>

Granting the existence of these tendencies, all the known facts of the history of plants and of animals may be brought into rational correlation. And this is more than can be said for any other hypothesis that I know of. Such hypotheses, for example, as that of the existence of a primitive, orderless chaos; of a passive and sluggish eternal matter moulded, with but partial success, by archetypal ideas; of a brand-new world-stuff suddenly created and swiftly shaped by a supernatural power; receive no encouragement, but the contrary, from our present knowledge. That our earth may once have formed part of a nebulous cosmic magma is certainly possible, indeed seems highly probable; but there is no reason to doubt that order reigned there, as completely as amidst what we regard as the most finished works of nature or of man. The faith which is born of knowledge, finds its object in an eternal order, bringing forth ceaseless change, through endless time, in endless space; the manifestations of the cosmic energy alternating between phases of potentiality and phases of explication. It may be that, as Kant suggests, every cosmic magma predestined to evolve into a new world, has been the no less predestined end of a vanished predecessor.

II

Three or four years have elapsed since the state of nature, to which I have referred, was brought to an end, so far as a small patch of the soil is concerned, by the intervention of man. The patch was cut off from the rest by a wall; within the area thus protected, the native vegetation was, as far as possible, extirpated; while a colony of strange plants was imported and set down in its place. In short, it was made into a garden. At the present time, this artificially treated area presents an aspect extraordinarily different from that of so much of the land as remains in the state of nature, outside the wall. Trees, shrubs, and herbs, many of them appertaining to the state of nature of remote parts of the globe, abound and flourish. Moreover, considerable quantities of vegetables, fruits, and flowers are produced, of kinds which neither now exist, nor have ever existed, except under conditions such as obtain in the garden; and which, therefore, are as much works of the art of man as the frames and glass-houses in which some of them are raised. That the "state of Art," thus created in the state of nature by man, is sustained by and dependent on him, would at

once become apparent, if the watchful supervision of the gardener were withdrawn, and the antagonistic influences of the general cosmic process were no longer sedulously warded off, or counteracted. The walls and gates would decay; quadrupedal and bipedal intruders would devour and tread down the useful and beautiful plants; birds, insects, blight, and mildew would work their will; the seeds of the native plants, carried by winds or other agencies, would immigrate, and in virtue of their long-earned special adaptation to the local conditions, these despised native weeds would soon choke their choice exotic rivals. A century or two hence, little beyond the foundations of the wall and of the houses and frames would be left, in evidence of the victory of the cosmic powers at work in the state of nature, over the temporary obstacles to their supremacy, set up by the art of the horticulturist.

It will be admitted that the garden is as much a work of art,<sup>8</sup> or artifice, as anything that can be mentioned. The energy localised in certain human bodies, directed by similarly localised intellects, has produced a collocation of other material bodies which could not be brought about in the state of nature. The same proposition is true of all the works of man's hands, from a flint implement to a cathedral or a chronometer; and it is because it is true, that we call these things artificial, term them works of art, or artifice, by way of distinguishing them from the products of the cosmic process, working outside man, which we call natural, or works of nature. The distinction thus drawn between the works of nature and those of man, is universally recognised; and it is, as I conceive, both useful and justifiable.

Ш

No doubt, it may be properly urged that the operation of human energy and intelligence, which has brought into existence and maintains the garden, by what I have called "the horticultural process," is, strictly speaking, part and parcel of the cosmic process. And no one could more readily agree to that proposition than I. In fact, I do not know that any one has taken more pains than I have, during the last thirty years, to insist upon the doctrine, so much reviled in the early part of that period, that man, physical, intellectual, and moral, is as much a part of nature, as purely a product of the cosmic process, as the humblest weed.<sup>9</sup>

But if, following up this admission, it is urged that, such being the case, the cosmic process cannot be in antagonism with that horticultural process which is part of itself—I can only reply, that if the conclusion that the two are antagonistic is logically absurd, I am sorry for logic, because, as we have seen, the fact is so. The garden is in the same position as every other work of man's art; it

is a result of the cosmic process working through and by human energy and intelligence; and, as is the case with every other artificial thing set up in the state of nature, the influences of the latter are constantly tending to break it down and destroy it. No doubt, the Forth bridge and an ironclad in the offing, are, in ultimate resort, products of the cosmic process; as much so as the river which flows under the one, or the seawater on which the other floats. Nevertheless, every breeze strains the bridge a little, every tide does something to weaken its foundations; every change of temperature alters the adjustment of its parts, produces friction and consequent wear and tear. From time to time, the bridge must be repaired, just as the ironclad must go into dock; simply because nature is always tending to reclaim that which her child, man, has borrowed from her and has arranged in combinations which are not those favoured by the general cosmic process.

Thus, it is not only true that the cosmic energy, working through man upon a portion of the plant world, opposes the same energy as it works through the state of nature, but a similar antagonism is everywhere manifest between the artificial and the natural. Even in the state of nature itself, what is the struggle for existence but the antagonism of the results of the cosmic process in the region of life, one to another?<sup>10</sup>

#### IV

Not only is the state of nature hostile to the state of art of the garden; but the principle of the horticultural process, by which the latter is created and maintained, is antithetic to that of the cosmic process. The characteristic feature of the latter is the intense and unceasing competition of the struggle for existence. The characteristic of the former is the elimination of that struggle, by the removal of the conditions which give rise to it. The tendency of the cosmic process is to bring about the adjustment of the forms of plant life to the current conditions; the tendency of the horticultural process is the adjustment of the conditions to the needs of the forms of plant life which the gardener desires to raise.

The cosmic process uses unrestricted multiplication as the means whereby hundreds compete for the place and nourishment adequate for one; it employs frost and drought to cut off the weak and unfortunate; to survive, there is need not only of strength, but of flexibility and of good fortune.

The gardener, on the other hand, restricts multiplication; provides that each plant shall have sufficient space and nourishment; protects from frost and drought; and, in every other way, attempts to modify the conditions, in such a manner as to bring about the survival of those forms which most nearly

approach the standard of the useful, or the beautiful, which he has in his mind.

If the fruits and the tubers, the foliage and the flowers thus obtained, reach, or sufficiently approach, that ideal, there is no reason why the *status quo* attained should not be indefinitely prolonged. So long as the state of nature remains approximately the same, so long will the energy and intelligence which created the garden suffice to maintain it. However, the limits within which this mastery of man over nature can be maintained are narrow. If the conditions of the cretaceous epoch returned, I fear the most skilful of gardeners would have to give up the cultivation of apples and gooseberries; while, if those of the glacial period once again obtained, open asparagus beds would be superfluous, and the training of fruit trees against the most favourable of south walls, a waste of time and trouble.

But it is extremely important to note that, the state of nature remaining the same, if the produce does not satisfy the gardener, it may be made to approach his ideal more closely. Although the struggle for existence may be at end, the possibility of progress remains. In discussions on these topics, it is often strangely forgotten that the essential conditions of the modification, or evolution, of living things are variation and hereditary transmission. Selection is the means by which certain variations are favoured and their progeny preserved. But the struggle for existence is only one of the means by which selection may be effected. The endless varieties of cultivated flowers, fruits, roots, tubers, and bulbs are not products of selection by means of the struggle for existence, but of direct selection, in view of an ideal of utility or beauty. Amidst a multitude of plants, occupying the same station and subjected to the same conditions, in the garden, varieties arise. The varieties tending in a given direction are preserved, and the rest are destroyed. And the same process takes place among the varieties until, for example, the wild kale becomes a cabbage, or the wild *Viola tricolor* a prize pansy.

V

The process of colonization presents analogies to the formation of a garden which are highly instructive. Suppose a shipload of English colonists sent to form a settlement, in such a country as Tasmania was in the middle of the last century. On landing, they find themselves in the midst of a state of nature, widely different from that left behind them in everything but the most general physical conditions. The common plants, the common birds and quadrupeds, are as totally distinct as the men from anything to be seen on the side of the globe from which they

come. The colonists proceed to put an end to this state of things over as large an area as they desire to occupy. They clear away the native vegetation, extirpate or drive out the animal population, so far as may be necessary, and take measures to defend themselves from the re-immigration of either. In their place, they introduce English grain and fruit trees; English dogs, sheep, cattle, horses; and English men; in fact, they set up a new Flora and Fauna and a new variety of mankind, within the old state of nature. Their farms and pastures represent a garden on a great scale, and themselves the gardeners who have to keep it up, in watchful antagonism to the old regime. Considered as a whole, the colony is a composite unit introduced into the old state of nature; and, thenceforward, a competitor in the struggle for existence, to conquer or be vanquished.

Under the conditions supposed, there is no doubt of the result, if the work of the colonists be carried out energetically and with intelligent combination of all their forces. On the other hand, if they are slothful, stupid, and careless; or if they waste their energies in contests with one another, the chances are that the old state of nature will have the best of it. The native savage will destroy the immigrant civilized man; of the English animals and plants some will be extirpated by their indigenous rivals, others will pass into the feral state and themselves become components of the state of nature. In a few decades, all other traces of the settlement will have vanished.

Let us now imagine that some administrative authority, as far superior in power and intelligence to men, as men are to their cattle, is set over the colony, charged to deal with its human elements in such a manner as to assure the victory of the settlement over the antagonistic influences of the state of nature in which it is set down. He would proceed in the same fashion as that in which the gardener dealt with his garden. In the first place, he would, as far as possible, put a stop to the influence of external competition by thoroughly extirpating and excluding the native rivals, whether men, beasts, or plants. And our administrator would select his human agents, with a view to his ideal of a successful colony, just as the gardener selects his plants with a view to his ideal of useful or beautiful products.

In the second place, in order that no struggle for the means of existence between these human agents should weaken the efficiency of the corporate whole in the battle with the state of nature, he would make arrangements by which each would be provided with those means; and would be relieved from the fear of being deprived of them by his stronger or more cunning fellows. Laws, sanctioned by the combined force of the colony, would restrain the self-assertion of each man within the limits required for the maintenance of peace. In other words, the cosmic struggle for

existence, as between man and man, would be rigorously suppressed; and selection, by its means would be as completely excluded as it is from the garden.

At the same time, the obstacles to the full development of the capacities of the colonists by other conditions of the state of nature than those already mentioned, would be removed by the creation of artificial conditions of existence of a more favourable character. Protection against extremes of heat and cold would be afforded by houses and clothing; drainage and irrigation works would antagonise the effects of excessive rain and excessive drought; roads, bridges, canals, carriages, and ships would overcome the natural obstacles to locomotion and transport; mechanical engines would supplement the natural strength of men and of their draught animals; hygienic precautions would check, or remove, the natural causes of disease. With every step of this progress in civilization, the colonists would become more and more independent of the state of nature; more and more, their lives would be conditioned by a state of art. In order to attain his ends, the administrator would have to avail himself of the courage, industry, and cooperative intelligence of the settlers; and it is plain that the interest of the community would be best served by increasing the proportion of persons who possess such qualities, and diminishing that of persons devoid of them. In other words, by selection directed towards an ideal.

Thus the administrator might look to the establishment of an earthly paradise, a true garden of Eden, in which all things should work together towards the well-being of the gardeners: within which the cosmic process, the coarse struggle for existence of the state of nature, should be abolished; in which that state should be replaced by a state of art; where every plant and every lower animal should be adapted to human wants, and would perish if human supervision and protection were withdrawn; where men themselves should have been selected, with a view to their efficiency as organs for the performance of the functions of a perfected society. And this ideal polity would have been brought about, not by gradually adjusting the men to the conditions around them, but by creating artificial conditions for them; not by allowing the free play of the struggle for existence, but by excluding that struggle; and by substituting selection directed towards the administrator's ideal for the selection it exercises.

#### VII

But the Eden would have its serpent, and a very subtle beast too. Man shares with the rest of the living world the mighty instinct of reproduction and its consequence, the tendency to multiply with

great rapidity. The better the measures of the administrator achieved their object, the more completely the destructive agencies of the state of nature were defeated, the less would that multiplication be checked.

On the other hand, within the colony, the enforcement of peace, which deprives every man of the power to take away the means of existence from another, simply because he is the stronger, would have put an end to the struggle for existence between the colonists, and the competition for the commodities of existence, which would alone remain, is no check upon population.

Thus, as soon as the colonists began to multiply, the administrator would have to face the tendency to the reintroduction of the cosmic struggle into his artificial fabric, in consequence of the competition, not merely for the commodities, but for the means of existence. When the colony reached the limit of possible expansion, the surplus population must be disposed of somehow; or the fierce struggle for existence must recommence and destroy that peace, which is the fundamental condition of the maintenance of the state of art against the state of nature.

Supposing the administrator to be guided by purely scientific considerations, he would, like the gardener, meet this most serious difficulty by systematic extirpation, or exclusion, of the superfluous. The hopelessly diseased, the infirm aged, the weak or deformed in body or in mind, the excess of infants born, would be put away, as the gardener pulls up defective and superfluous plants, or the breeder destroys undesirable cattle. Only the strong and the healthy, carefully matched, with a view to the progeny best adapted to the purposes of the administrator, would be permitted to perpetuate their kind.

#### VIII

Of the more thoroughgoing of the multitudinous attempts to apply the principles of cosmic evolution, or what are supposed to be such, to social and political problems, which have appeared of late years, a considerable proportion appear to me to be based upon the notion that human society is competent to furnish, from its own resources, an administrator of the kind I have imagined. The pigeons, in short, are to be their own Sir John Sebright. A despotic government, whether individual or collective, is to be endowed with the preternatural intelligence, and with what, I am afraid, many will consider the preternatural ruthlessness, required for the purpose of carrying out the principle of improvement by selection, with the somewhat drastic thoroughness upon which the success of the method depends. Experience certainly does not

justify us in limiting the ruthlessness of individual "saviours of society"; and, on the well-known grounds of the aphorism which denies both body and soul to corporations, it seems probable (indeed the belief is not without support in history) that a collective despotism, a mob got to believe in its own divine right by demagogic missionaries, would be capable of more thorough work in this direction than any single tyrant, puffed up with the same illusion, has ever achieved. But intelligence is another affair. The fact that "saviours of society" take to that trade is evidence enough that they have none to spare. And such as they possess is generally sold to the capitalists of physical force on whose resources they depend. However, I doubt whether even the keenest judge of character, if he had before him a hundred boys and girls under fourteen, could pick out, with the least chance of success, those who should be kept, as certain to be serviceable members of the polity, and those who should be chloroformed, as equally sure to be stupid, idle, or vicious. The "points" of a good or of a bad citizen are really far harder to discern than those of a puppy or a short-horn calf; many do not show themselves before the practical difficulties of life stimulate manhood to full exertion. And by that time the mischief is done. The evil stock, if it be one, has had time to multiply, and selection is nullified.

#### IX

I have other reasons for fearing that this logical ideal of evolutionary regimentation—this 'pigeon-fanciers' polity—is unattainable. In the absence of any such a severely scientific administrator as we have been dreaming of, human society is kept together by bonds of such a singular character, that the attempt to perfect society after his fashion would run serious risk of loosening them.

Social organization is not peculiar to men. Other societies, such as those constituted by bees and ants, have also arisen out of the advantage of cooperation in the struggle for existence; and their resemblances to, and their differences from, human society are alike instructive. The society formed by the hive bee fulfils the ideal of the communistic aphorism "to each according to his needs, from each according to his capacity." Within it, the struggle for existence is strictly limited. Queen, drones, and workers have each their allotted sufficiency of food; each performs the function assigned to it in the economy of the hive, and all contribute to the success of the whole co-operative society in its competition with rival collectors of nectar and pollen and with other enemies, in the state of nature without. In the same sense as the garden, or the colony, is a work of human art, the bee polity is a work of apiarian

art, brought about by the cosmic process, working through the organization of the hymenopterous type.

Now this society is the direct product of an organic necessity, impelling every member of it to a course of action which tends to the good of the whole. Each bee has its duty and none has any rights. Whether bees are susceptible of feeling and capable of thought is a question which cannot be dogmatically answered. As a pious opinion, I am disposed to deny them more than the merest rudiments of consciousness.<sup>12</sup> But it is curious to reflect that a thoughtful drone (workers and queens would have no leisure for speculation) with a turn for ethical philosophy, must needs profess himself an intuitive moralist of the purest water. He would point out, with perfect justice, that the devotion of the workers to a life of ceaseless toil for a mere subsistence wage, cannot be accounted for either by enlightened selfishness, or by any other sort of utilitarian motives; since these bees begin to, work, without experience or reflection, as they emerge from the cell in which they are hatched. Plainly, an eternal and immutable principle, innate in each bee, can alone account for the phenomena. On the other hand, the biologist, who traces out all the extant stages of gradation between solitary and hive bees, as clearly sees in the latter, simply the perfection of an automatic mechanism, hammered out by the blows of the struggle for existence upon the progeny of the former, during long ages of constant variation.

X

I see no reason to doubt that, at its origin, human society was as much a product of organic necessity as that of the bees.<sup>13</sup> The human family, to begin with, rested upon exactly the same conditions as those which gave rise to similar associations among animals lower in the scale. Further, it is easy to see that every increase in the duration of the family ties, with the resulting cooperation of a larger and larger number of descendants for protection and defence, would give the families in which such modification took place a distinct advantage over the others. And, as in the hive, the progressive limitation of the struggle for existence between the members of the family would involve increasing efficiency as regards outside competition.

But there is this vast and fundamental difference between bee society and human society. In the former, the members of the society are each organically predestined to the performance of one particular class of functions only. If they were endowed with desires, each could desire to perform none but those offices for which its organization specially fits it; and which, in view of the good of the whole, it is proper it should do. So long as a new

queen does not make her appearance, rivalries and competition are absent from the bee polity.

Among mankind, on the contrary, there is no such predestination to a sharply defined place in the social organism. However much men may differ in the quality of their intellects, the intensity of their passions, and the delicacy of their sensations, it cannot be said that one is fitted by his organization to be an agricultural labourer and nothing else, and another to be a landowner and nothing else. Moreover, with all their enormous differences in natural endowment, men agree in one thing, and that is their innate desire to enjoy the pleasures and to escape the pains of life; and, in short, to do nothing but that which it pleases them to do, without the least reference to the welfare of the society into which they are born. That is their inheritance (the reality at the bottom of the doctrine of original sin) from the long series of ancestors, human and semi-human and brutal, in whom the strength of this innate tendency to self-assertion was the condition of victory in the struggle for existence. That is the reason of the aviditas vitae—the insatiable hunger for enjoyment—of all mankind, which is one of the essential conditions of success in the war with the state of nature outside; and yet the sure agent of the destruction of society if allowed free play within.

The check upon this free play of self-assertion, or natural liberty, which is the necessary condition for the origin of human society, is the product of organic necessities of a different kind from those upon which the constitution of the hive depends. One of these is the mutual affection of parent and offspring, intensified by the long infancy of the human species. But the most important is the tendency, so strongly developed in man, to reproduce in himself actions and feelings similar to, or correlated with, those of other men. Man is the most consummate of all mimics in the animal world; none but himself can draw or model; none comes near him in the scope, variety, and exactness of vocal imitation; none is such a master of gesture; while he seems to be impelled thus to imitate for the pure pleasure of it. And there is no such another emotional chameleon. By a purely reflex operation of the mind, we take the hue of passion of those who are about us, or, it may be, the complementary colour. It is not by any conscious "putting one's self in the place" of a joyful or a suffering person that the state of mind we call sympathy usually arises; indeed, it is often contrary to one's sense of right, and in spite of one's will, that "fellow-feeling makes us wondrous kind," or the reverse. However complete may be the indifference to public opinion, in a cool, intellectual view, of the traditional sage, it has not yet been my fortune to meet with any actual sage who took its hostile manifestations with entire equanimity. Indeed, I doubt if the philosopher lives, or ever has lived, who could know himself to be

heartily despised by a street boy without some irritation. And, though one cannot justify Haman for wishing to hang Mordecai on such a very high gibbet, yet, really, the consciousness of the Vizier of Ahasuerus, as he went in and out of the gate, that this obscure Jew had no respect for him, must have been very annoying.<sup>15</sup>

It is needful only to look around us, to see that the greatest restrainer of the anti-social tendencies of men is fear, not of the law, but of the opinion of their fellows. The conventions of honour bind men who break legal, moral, and religious bonds; and, while people endure the extremity of physical pain rather than part with life, shame drives the weakest to suicide.

Every forward step of social progress brings men into closer relations with their fellows, and increases the importance of the pleasures and pains derived from sympathy. We judge the acts of others by our own sympathies, and we judge our own acts by the sympathies of others, every day and all day long, from childhood upwards, until associations, as indissoluble as those of language, are formed between certain acts and the feelings of approbation or disapprobation. It becomes impossible to imagine some acts without disapprobation, or others without approbation of the actor, whether he be one's self, or any one else. We come to think in the acquired dialect of morals. An artificial personality, the "man within," as Adam Smith<sup>16</sup> calls conscience, is built up beside the natural personality. He is the watchman of society, charged to restrain the anti-social tendencies of the natural man within the limits required by social welfare.

#### XI

I have termed this evolution of the feelings out of which the primitive bonds of human society are so largely forged, into the organized and personified sympathy we call conscience, the ethical process.<sup>17</sup> So far as it tends to make any human society more efficient in the struggle for existence with the state of nature, or with other societies, it works in harmonious contrast with the cosmic process. But it is none the less true that, since law and morals are restraints upon the struggle for existence between men in society, the ethical process is in opposition to the principle of the cosmic process, and tends to the suppression of the qualities best fitted for success in that struggle.<sup>18</sup>

It is further to be observed that, just as the self-assertion, necessary to the maintenance of society against the state of nature, will destroy that society if it is allowed free operation within; so the self-restraint, the essence of the ethical process, which is no less an essential condition of the existence of every polity, may, by excess, become ruinous to it.

Moralists of all ages and of all faiths, attending only to the relations of men towards one another in an ideal society, have agreed upon the "golden rule," "Do as you would be done by." In other words, let sympathy be your guide; put yourself in the place of the man towards whom your action is directed; and do to him what you would like to have done to yourself under the circumstances. However much one may admire the generosity of such a rule of conduct; however confident one may be that average men may be thoroughly depended upon not to carry it out to its full logical consequences; it is nevertheless desirable to recognise the fact that these consequences are incompatible with the existence of a civil state, under any circumstances of this world which have obtained, or, so far as one can see, are, likely to come to pass.

For I imagine there can be no doubt that the great desire of every wrongdoer is to escape from the painful consequences of his actions. If I put myself in the place of the man who has robbed me, I find that I am possessed by an exceeding desire not to be fined or imprisoned; if in that of the man who has smitten me on one cheek, I contemplate with satisfaction the absence of any worse result than the turning of the other cheek for like treatment. Strictly observed, the "golden rule" involves the negation of law by the refusal to put it in motion against law-breakers; and, as regards the external relations of a polity, it is the refusal to continue the struggle for existence. It can be obeyed, even partially, only under the protection of a society which repudiates it. Without such shelter, the followers of the "golden rule" may indulge in hopes of heaven, but they must reckon with the certainty that other people will be masters of the earth.

What would become of the garden if the gardener treated all the weeds and slugs and birds and trespassers as he would like to be treated, if he were in their place?

#### XII

Under the preceding heads, I have endeavoured to represent in broad, but I hope faithful, outlines the essential features of the state of nature and of that cosmic process of which it is the outcome, so far as was needful for my argument; I have contrasted with the state of nature the state of art, produced by human intelligence and energy, as it is exemplified by a garden; and I have shown that the state of art, here and elsewhere, can be maintained only by the constant counteraction of the hostile influences of the state of nature. Further, I have pointed out that the "horticultural process" which thus sets itself against the "cosmic process" is opposed to the latter in principle, in so far as it tends to arrest the

struggle for existence, by restraining the multiplication which is one of the chief causes of that struggle, and by creating artificial conditions of life, better adapted to the cultivated plants than are the conditions of the state of nature. And I have dwelt upon the fact that, though the progressive modification, which is the consequence of the struggle for existence in the state of nature, is at an end, such modification may still be effected by that selection, in view of an ideal of usefulness, or of pleasantness, to man, of which the state of nature knows nothing.

I have proceeded to show that a colony, set down in a country in the state of nature, presents close analogies with a garden; and I have indicated the course of action which an administrator, able and willing to carry out horticultural principles, would adopt, in order to secure the success of such a newly formed polity, supposing it to be capable of indefinite expansion. In the contrary case, I have shown that difficulties must arise; that the unlimited increase of the population over a limited area must, sooner or later, reintroduce into the colony that struggle for the means of existence between the colonists, which it was the primary object of the administrator to exclude, insomuch as it is fatal to the mutual peace which is the prime condition of the union of men in society.

I have briefly described the nature of the only radical cure, known to me, for the disease which would thus threaten the existence of the colony; and, however regretfully, I have been obliged to admit that this rigorously scientific method of applying the principles of evolution to human society hardly comes within the region of practical politics; not for want of will on the part of a great many people; but because, for one reason, there is no hope that mere human beings will ever possess enough intelligence to select the fittest. And I have adduced other grounds for arriving at the same conclusion.

I have pointed out that human society took its rise in the organic necessities expressed by imitation and by the sympathetic emotions; and that, in the struggle for existence with the state of nature and with other societies, as part of it, those in which men were thus led to close co-operation had a great advantage. But, since each man retained more or less of the faculties common to all the rest, and especially a full share of the desire for unlimited self-gratification, the struggle for existence within society could only be gradually eliminated. So long as any of it remained, society continued to be an imperfect instrument of the struggle for existence and, consequently, was improvable by the selective influence of that struggle. Other things being alike, the tribe of savages in which order was best maintained; in which there was most security within the tribe and the most loyal mutual support outside it, would be the survivors.

I have termed this gradual strengthening of the social bond, which, though it arrests the struggle for existence inside society, up to a certain point improves the chances of society, as a corporate whole, in the cosmic struggle—the ethical process. I have endeavoured to show that, when the ethical process has advanced so far as to secure every member of the society in the possession of the means of existence, the struggle for existence, as between man and man, within that society is, *ipso facto*, at an end. And, as it is undeniable that the most highly civilized societies have substantially reached this position, it follows that, so far as they are concerned, the struggle for existence can play no important part within them.<sup>20</sup> In other words, the kind of evolution which is brought about in the state of nature cannot take place.

I have further shown cause for the belief that direct selection, after the fashion of the horticulturist and the breeder, neither has played, nor can play, any important part in the evolution of society; apart from other reasons, because I do not see how such selection could be practised without a serious weakening, it may be the destruction, of the bonds which hold society together. It strikes me that men who are accustomed to contemplate the active or passive extirpation of the weak, the unfortunate, and the superfluous; who justify that conduct on the ground that it has the sanction of the cosmic process, and is the only way of ensuring the progress of the race; who, if they are consistent, must rank medicine among the black arts and count the physician a mischievous preserver of the unfit; on whose matrimonial undertakings the principles of the stud have the chief influence; whose whole lives, therefore, are an education in the noble art of suppressing natural affection and sympathy, are not likely to have any large stock of these commodities left. But, without them, there is no conscience, nor any restraint on the conduct of men, except the calculation of selfinterest, the balancing of certain present gratifications against doubtful future pains; and experience tells us how much that is worth. Every day, we see firm believers in the hell of the theologians commit acts by which, as they believe when cool, they risk eternal punishment; while they hold back from those which are opposed to the sympathies of their associates.

#### XIII

That progressive modification of civilization which passes by the name of the "evolution of society," is, in fact, a process of an essentially different character, both from that which brings about the evolution of species, in the state of nature, and from that which gives rise to the evolution of varieties, in the state of art.

There can be no doubt that vast changes have taken place in English civilization since the reign of the Tudors. But I am not aware of a particle of evidence in favour of the conclusion that this evolutionary process has been accompanied by any modification of the physical, or the mental, characters of the men who have been the subjects of it. I have not met with any grounds for suspecting that the average Englishmen of to-day are sensibly different from those that Shakspeare knew and drew. We look into his magic mirror of the Elizabethan age, and behold, nowise darkly, the presentment of ourselves.

During these three centuries, from the reign of Elizabeth to that of Victoria, the struggle for existence between man and man has been so largely restrained among the great mass of the population (except for one or two short intervals of civil war), that it can have had little, or no, selective operation. As to anything comparable to direct selection, it has been practised on so small a scale that it may also be neglected. The criminal law, in so far as by putting to death, or by subjecting to long periods of imprisonment, those who infringe its provisions, it prevents the propagation of hereditary criminal tendencies; and the poor-law, in so far as it separates married couples, whose destitution arises from hereditary defects of character, are doubtless selective agents operating in favour of the non-criminal and the more effective members of society. But the proportion of the population which they influence is very small; and, generally, the hereditary criminal and the hereditary pauper have propagated their kind before the law affects them. In a large proportion of cases, crime and pauperism have nothing to do with heredity; but are the consequence, partly, of circumstances and, partly, of the possession of qualities, which, under different conditions of life, might have excited esteem and even admiration. It was a shrewd man of the world who, in discussing sewage problems, remarked that dirt is riches in the wrong place; and that sound aphorism has moral applications. The benevolence and open-handed generosity which adorn a rich man, may make a pauper of a poor one; the energy and courage to which the successful soldier owes his rise, the cool and daring subtlety to which the great financier owes his fortune, may very easily, under unfavourable conditions, lead their possessors to the gallows, or to the hulks. Moreover, it is fairly probable that the children of a 'failure' will receive from their other parent just that little modification of character which makes all the difference. I sometimes wonder whether people, who talk so freely about extirpating the unfit, ever dispassionately consider their own history. Surely, one must be very 'fit,' indeed, not to know of an occasion, or perhaps two, in one's life, when it would have been only too easy to qualify for a place among the 'unfit.'

In my belief the innate qualities, physical, intellectual, and moral, of our nation have remained substantially the same for the last four or five centuries. If the struggle for existence has affected us to any serious extent (and I doubt it) it has been, indirectly, through our military and industrial wars with other nations.

#### XIV

What is often called the struggle for existence in society (I plead guilty to having used the term too loosely myself), is a contest, not for the means of existence, but for the means of enjoyment. Those who occupy the first places in this practical competitive examination are the rich and the influential; those who fail, more or less, occupy the lower places, down to the squalid obscurity of the pauper and the criminal. Upon the most liberal estimate, I suppose the former group will not amount to two per cent, of the population. I doubt if the latter exceeds another two per cent.; but let it be supposed, for the sake of argument, that it is as great as five per cent.<sup>21</sup>

As it is only in the latter group that anything comparable to the struggle for existence in the state of nature can take place; as it is only among this twentieth of the whole people that numerous men, women, and children die of rapid or slow starvation, or of the diseases incidental to permanently bad conditions of life; and as there is nothing to prevent their multiplication before they are killed off, while, in spite of greater infant mortality, they increase faster than the rich; it seems clear that the struggle for existence in this class can have no appreciable selective influence upon the other 95 per cent. of the population.

What sort of a sheep breeder would he be who should content himself with picking out the worst fifty out of a thousand, leaving them on a barren common till the weakest starved, and then letting the survivors go back to mix with the rest? And the parallel is too favourable; since in a large number of cases, the actual poor and the convicted criminals are neither the weakest nor the worst.

In the struggle for the means of enjoyment, the qualities which ensure success are energy, industry, intellectual capacity, tenacity of purpose, and, at least as much sympathy as is necessary to make a man understand the feelings of his fellows. Were there none of those artificial arrangements by which fools and knaves are kept at the top of society instead of sinking to their natural place at the bottom,<sup>22</sup> the struggle for the means of enjoyment would ensure a constant circulation of the human units of the social compound, from the bottom to the top and from the top to the bottom. The survivors of the contest, those who continued to form the great bulk of the polity, would not be those 'fittest' who got to the very

top, but the great body of the moderately 'fit,' whose numbers and superior propagative power, enable them always to swamp the exceptionally endowed minority.

I think it must be obvious to every one, that, whether we consider the internal or the external interests of society, it is desirable they Should be in the hands of those who are endowed with the largest share of energy, of industry, of intellectual capacity, of tenacity of purpose, while they are not devoid of sympathetic humanity; and, in so far as the struggle for the means of enjoyment tends to place such men in possession of wealth and influence, it is a process which tends to the good of society. But the process, as we have seen, has no real resemblance to that which adapts living beings to current conditions in the state of nature; nor any to the artificial selection of the horticulturist.

#### XV

To return, once more, to the parallel of horticulture. In the modern world, the gardening of men by themselves is practically restricted to the performance, not of selection, but of that other function of the gardener, the creation of conditions more favourable than those of the state of nature; to the end of facilitating the free expansion of the innate faculties of the citizen, so far as it is consistent with the general good. And the business of the moral and political philosopher appears to me to be the ascertainment, by the same method of observation, experiment, and ratiocination, as is practised in other kinds of scientific work, of the course of conduct which will best conduce to that end.

But, supposing this course of conduct to be scientifically determined and carefully followed out, it cannot put an end to the struggle for existence in the state of nature; and it will not so much as tend, in any way, to the adaptation of man to that state. Even should the whole human race be absorbed in one vast polity, within which "absolute political justice" reigns, the struggle for existence with the state of nature outside it, and the tendency to the return of the struggle within, in consequence of over-multiplication, will remain; and, unless men's inheritance from the ancestors who fought a good fight in the state of nature, their dose of original sin, is rooted out by some method at present unrevealed, at any rate to disbelievers in supernaturalism, every child born into the world will still bring with him the instinct of unlimited self-assertion. He will have to learn the lesson of selfrestraint and renunciation. But the practice of self-restraint and renunciation is not happiness, though it may be something much better.

That man, as a 'political animal,' is susceptible of a vast amount of improvement, by education, by instruction, and by the application of his intelligence to the adaptation of the conditions of life to his higher needs, I entertain not the slightest doubt. But, so long as he remains liable to error, intellectual or moral; so long as he is compelled to be perpetually on guard against the cosmic forces, whose ends are not his ends, without and within himself; so long as he is haunted by inexpugnable memories and hopeless aspirations; so long as the recognition of his intellectual limitations forces him to acknowledge his incapacity to penetrate the mystery of existence; the prospect of attaining untroubled happiness, or of a state which can, even remotely, deserve the title of perfection, appears to me to be as misleading an illusion as ever was dangled before the eyes of poor humanity. And there have been many of them.

That which lies before the human race is a constant struggle to maintain and improve, in opposition to the State of Nature, the State of Art of an organized polity; in which, and by which, man may develop a worthy civilization, capable of maintaining and constantly improving itself, until the evolution of our globe shall have entered so far upon its downward course that the cosmic process resumes its sway; and, once more, the State of Nature prevails over the surface of our planet.

#### NOTES

<sup>1</sup>See "On a piece of Chalk" in the preceding volume of these Essays (vol. viii. p. 1).

<sup>2</sup>That every theory of evolution must be consistent not merely with progressive development, but with indefinite persistence in the same condition and with retrogressive modification, is a point which I have insisted upon repeatedly from the year 1862 till now. See *Collected Essays*, vol. ii. pp. 461-89; vol. iii. p. 33; vol. viii. p. 304. In the address on "Geological Contemporaneity and Persistent Types" (1862), the paleontological proofs of this proposition were, I believe, first set forth.

<sup>3</sup>"On the Border Territory between the Animal and the Vegetable Kingdoms," Essays, vol. viii. p. 162.

<sup>4</sup>See "Evolution in Biology," Essays, vol. ii. p. 187.

<sup>5</sup>Collected Essays, vol. ii. passim.

<sup>6</sup>Ibid., vol. iv. p. 138; vol. v. pp. 71-73.

<sup>7</sup>Ibid., vol. viii. p. 321.

<sup>8</sup>The sense of the term "Art" is becoming narrowed; "work of Art" to most people means a picture, a statue, or a piece of *bijouterie;* by way of compensation "artist" has included in its wide embrace cooks and ballet girls, no less than painters and sculptors.

<sup>9</sup>See "Man's Place in Nature," *Collected Essays*, vol. vii., and "On the Struggle for Existence in Human Society"(1888), below.

<sup>10</sup>Or to put the case still more simply. When a man lays hold of the two ends of a piece of string and pulls them, with intent to break it, the right arm is certainly

exerted in antagonism to the left arm; yet both arms derive their energy from the same original source.

- <sup>11</sup>Not that the conception of such a society is necessarily based upon the idea of evolution. The Platonic state testifies to the contrary.
- <sup>12</sup> Collected Essays, vol. i., "Animal Automatism"; vol. v., "Prologue," pp. 45 et seq.
  - <sup>13</sup>Collected Essays, vol. v., Prologue, pp. 50-54.
- <sup>14</sup>Adam Smith makes the pithy observation that the man who sympathises with a woman in childbed, cannot be said to put himself in her place. ("The Theory of the Moral Sentiments," Part vii. sec. iii. chap. i.) Perhaps there is more humour than force in the example; and, in spite of this and other observations of the same tenor, I think that the one defect of the remarkable work in which it occurs is that it lays too much stress on conscious substitution, too little on purely reflex sympathy.

<sup>15</sup>Esther v. 9-13. "... but when Haman saw Mordecai in the king's gate, that he stood not up, nor moved for him, he was full of indignation against Mordecai... And Haman told them of the glory of his riches.... and all the things wherein the king had promoted him... Yet all this availeth me nothing, so long as I see Mordecai the Jew sitting at the king's gate." What a shrewd exposure of human weakness it is!

<sup>16</sup>"Theory of the Moral Sentiments," Part iii. chap. 3. *On the influence and authority of conscience.* 

<sup>17</sup>Worked out, in its essential features, chiefly by Hartley and Adam Smith, long before the modern doctrine of evolution was thought of. It seems the fashion nowadays to ignore Hartley; though, a century and a half ago, he not only laid the foundations but built up much of the superstructure of a true theory of the Evolution of the intellectual and moral faculties. He speaks of what I have termed the ethical process as "our Progress from Self-interest to Self-annihilation." *Observations on Man* (1749), vol. ii. p. 281.

<sup>18</sup>See the essay "On the Struggle for Existence in Human Society" below; and *Collected Essays*, vol. i. p. 276, for Kant's recognition of these facts.

<sup>19</sup>Collected Essays, vol. v., Prologue, p. 52.

<sup>20</sup>Whether the struggle for existence with the state of nature and with other societies, so far as they stand in the relation of the state of nature with it, exerts a selective influence upon modern society, and in what direction, are questions not easy to answer. The problem of the effect of military and industrial warfare upon those who wage it is very complicated.

<sup>21</sup>Those who read the last Essay in this volume will not accuse me of wishing to attenuate the evil of the existence of this group, whether great or small.

<sup>22</sup>I have elsewhere lamented the absence from society of A machinery for facilitating the descent of incapacity. "Administrative Nihilism." *Collected Essays*, vol. i. p. 54.